

9/27/04

10633876 - GAU/116



PTO/SB/21 (08-03)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>		Application Number	10/633,876
		Filing Date	8/4/2003
		First Named Inventor	Ron L. Hale
		Art Unit	Not yet known
		Examiner Name	To be assigned
Total Number of Pages in This Submission		Attorney Docket Number	00060.01R

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <div>Remarks</div> <p>Info. Disc. Statement Form 1449 (3 copies, 20 pages each) Copy of 540 references Return Postcard</p>	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 40px; width: 100%;"></div>

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	ALEXZA MDC, 1001 East Meadow Circle, Palo Alto, CA 94303 Elaine C. Stracker, Ph.D., J.D. Telephone (650)687-3905	Customer Number 37485
Signature		
Date	SEP. 24 2004	

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Elaine C. Stracker



PATENT
Attorney's Docket No.: 00060.01R

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hale et al.
Serial No.: 10/633,876
Filed: 8/4/2003
Title: RAPID-HEATING DRUG DELIVERY ARTICLE AND METHOD OF
USE

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In accordance with their continuing duty of disclosure under 37 CFR §§ 1.97, 1.98 and 1.56, Applicants hereby submit for consideration in the above-identified application the enclosed references. The Information Disclosure statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

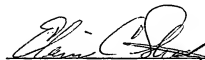
For the convenience of the Examiner, the references are listed on a PTO Form 1449 (attached) and copies thereof are enclosed. Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

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In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including any extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 502731. Please direct all correspondence to the following customer number.

Respectfully submitted,

Date: SEP. 24 2004



Elaine C. Stracker J.D., Ph.D., Reg. 43,166

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PTO/SB/08 (2-92)

Sheet 1 of 20

Form PTO-1449

Docket Number: 00060.01R

Application Number: 10/633,876

Applicant:

HALE et al.

Filing Date: 8/4/2003

Group Art Unit:

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	02/04/1941	2,230,753	Klavehn et al.			
	2.	02/04/1941	2,230,754	Klavehn et al.			
	3.	09/01/1959	2,902,484	Horclois			
	4.	01/05/1965	3,164,600	Janssen et al.			
	5.	02/09/1965	3,169,095	Thiel et al.			
	6.	11/23/1965	3,219,533	Mullins			
	7.	11/01/1966	3,282,729	Richardson et al.			
	8.	01/03/1967	3,296,249	Bell			
	9.	01/17/1967	3,299,185	Oda et al.			
	10.	02/27/1968	3,371,085	Reeder et al.			
	11.	07/16/1968	3,393,197	Pachter			
	12.	03/18/1969	3,433,791	Bentley et al.			
	13.	02/02/1971	3,560,607	Hailly			
	14.	10/31/1972	3,701,782	Hester			
	15.	08/27/1974	3,831,606	Damani			
	16.	02/04/1975	3,864,326	Babington			
	17.	07/08/1975	3,894,040	Buzby, Jr.			
	18.	09/30/1975	3,909,463	Hartman			
	19.	03/16/1976	3,943,941	Boyd et al.			
	20.	07/27/1976	3,971,377	Damani			
	21.	09/21/1976	3,982,095	Robinson			
	22.	10/19/1976	3,987,052	Hester, Jr.			
	23.	02/22/1977	4,008,723	Borthwick et al.			
	24.	08/30/1977	4,045,156	Chu et al.			
	25.	03/21/1978	4,079,742	Rainer et al.			
	26.	08/01/1978	4,104,210	Coran et al.			

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DATE CONSIDERED:

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Sheet 2 of 20

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

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Docket Number: 00060.01R

Application Number: 10/633,876

Applicant:

HALE et al.

Filing Date: 8/4/2003

Group Art Unit:

27.	10/24/1978	4,121,583	Chen			
28.	02/27/1979	4,141,369	Burruss			
29.	07/10/1979	4,160,765	Weinstock			
30.	08/28/1979	4,166,087	Cline, et al.			
31.	01/15/1980	4,183,912	Rosenthale			
32.	02/26/1980	4,190,654	Gherardi et al.			
33.	04/15/1980	4,198,200	Fonda et al.			
34.	05/27/1980	Re. 30,285	Babington			
35.	08/26/1980	4,219,031	Rainer et al.			
36.	10/21/1980	4,229,447	Porter			
37.	10/28/1980	4,229,931	Schlueter et al.			
38.	11/04/1980	4,232,002	Nogrady			
39.	12/02/1980	4,236,544	Osaka			
40.	02/17/1981	4,251,525	Weinstock			
41.	07/28/1981	4,280,629	Slaughter			
42.	08/18/1981	4,284,089	Ray			
43.	09/01/1981	4,286,604	Ehretsmann et al.			
44.	12/01/1981	4,303,083	Burruss, Jr.			
45.	07/20/1982	4,340,072	Bolt et al.			
46.	09/07/1982	4,347,855	Lanzillotti et al.			
47.	03/15/1983	4,376,767	Sloan			
48.	07/05/1983	4,391,285	Burnett et al.			
49.	12/27/1983	4,423,071	Chignac et al.			
50.	10/02/1984	4,474,191	Steiner			
51.	04/02/1985	4,508,726	Coleman			
52.	06/18/1985	4,523,589	Krauser			
53.	01/28/1986	4,566,451	Badewien			
54.	05/13/1986	4,588,425	Usry et al.			
55.	05/13/1986	4,588,721	Mahan			
56.	05/27/1986	4,591,615	Aldred et al.			
57.	08/12/1986	4,605,552	Fritschi			

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pa-729792

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Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 00060.01R

Application Number: 10/633,876

Applicant:

HALE et al.

Filing Date: 8/4/2003

Group Art Unit:

58.	03/31/1987	4,654,370	Marriott, III et al.			
59.	07/28/1987	4,683,231	Glassman			
60.	09/15/1987	4,693,868	Katsuda et al.			
61.	11/24/1987	4,708,151	Shelar			
62.	12/22/1987	4,714,082	Banerjee et al.			
63.	03/29/1988	4,734,560	Bowen			
64.	04/05/1988	4,735,217	Gerth et al.			
65.	04/15/1988	4,735,358	Osamo et al.			
66.	06/28/1988	4,753,758	Miller			
67.	07/05/1988	4,755,508	Bock et al.			
68.	07/12/1988	4,756,318	Clearman et al.			
69.	08/23/1988	4,765,347	Sensabaugh, Jr. et al.			
70.	09/20/1988	4,771,795	White et al.			
71.	10/04/1988	4,774,971	Vieten			
72.	12/27/1988	4,793,365	Sensabaugh, Jr. et al.			
73.	12/27/1988	4,793,366	Hill			
74.	01/31/1989	4,800,903	Ray et al.			
75.	01/31/1989	4,801,411	Wellinghoff et al.			
76.	03/21/1989	4,814,161	Jinks et al.			
77.	04/11/1989	4,819,665	Roberts et al.			
78.	07/18/1989	4,848,374	Chard et al.			
79.	08/01/1989	4,852,561	Sperry			
80.	08/01/1989	4,853,517	Bowen et al.			
81.	08/08/1989	4,854,331	Banerjee et al.			
82.	08/22/1989	4,858,630	Banerjee et al.			
83.	09/05/1989	4,863,720	Burghart et al.			
84.	11/21/1989	4,881,541	Eger et al.			
85.	11/21/1989	4,881,556	Clearman et al.			
86.	12/26/1989	4,889,850	Thornfeldt et al.			
87.	01/23/1990	4,895,719	Radhakrishnan et al.			
88.	03/06/1990	4,906,417	Gentry			

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PTO/SB/08 (2-92)

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Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 00060.01R

Application Number: 10/633,876

Applicant:

HALE et al.

Filing Date: 8/4/2003

Group Art Unit:

89.	04/17/1990	4,917,119	Potter et al.			
90.	04/17/1990	4,917,120	Hill			
91.	04/17/1990	4,917,830	Ortiz et al.			
92.	05/08/1990	4,922,901	Brooks et al.			
93.	05/29/1990	4,928,714	Shannon			
94.	07/17/1990	4,941,483	Ridings et al.			
95.	08/14/1990	4,947,874	Brooks et al.			
96.	08/14/1990	4,947,875	Brooks et al.			
97.	08/21/1990	4,950,664	Goldberg			
98.	09/11/1990	4,955,945	Weick			
99.	09/25/1990	4,959,380	Wilson			
100.	10/16/1990	4,963,289	Ortiz et al.			
101.	02/05/1991	4,989,619	Clearman et al.			
102.	05/21/1991	5,016,425	Weick			
103.	05/21/1991	5,017,575	Golwyn			
104.	05/28/1991	5,019,122	Clearman et al.			
105.	06/04/1991	5,020,548	Farrier et al.			
106.	07/02/1991	5,027,836	Shannon et al.			
107.	07/23/1991	5,033,483	Clearman et al.			
108.	08/27/1991	5,042,509	Banerjee et al.			
109.	09/17/1991	5,049,389	Radhakrishnan			
110.	10/29/1991	5,060,666	Clearman et al.			
111.	10/29/1991	5,060,667	Strubel			
112.	10/29/1991	5,060,671	Counts et al.			
113.	11/26/1991	5,067,499	Banerjee et al.			
114.	12/17/1991	5,072,726	Mazloomdoost et al.			
115.	12/31/1991	5,076,292	Sensabaugh, Jr. et al.			
116.	03/31/1992	5,099,861	Clearman et al.			
117.	04/21/1992	5,105,831	Banerjee et al.			
118.	05/12/1992	5,112,598	Biesalski			
119.	06/02/1992	5,118,494	Schultz et al.			

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PTO/SB/08 (2-92)
Sheet 5 of 20

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 00060.01R

Application Number: 10/633,876

Applicant:

HALE et al.

Filing Date: 8/4/2003

Group Art Unit:

	120.	06/09/1992	5,119,834	Shannon et al.			
	121.	06/30/1992	5,126,123	Johnson			
	122.	07/28/1992	5,133,368	Neumann et al.			
	123.	08/11/1992	5,137,034	Perfetti et al.			
	124.	10/20/1992	5,156,170	Clearman et al.			
	125.	11/17/1992	5,164,740	Ivri			
	126.	11/24/1992	5,166,202	Schweizer			
	127.	12/01/1992	5,167,242	Turner et al.			
	128.	01/05/1993	5,177,071	Freidinger et al.			
	129.	02/16/1993	5,186,164	Raghuprasad			
	130.	03/09/1993	5,192,548	Velasquez et al.			
	131.	07/06/1993	5,224,498	Deevi et al.			
	132.	07/20/1993	5,229,120	DeVincent			
	133.	07/20/1993	5,229,382	Chakrabarti et al.			
	134.	08/31/1993	5,240,922	O'Neill			
	135.	10/05/1993	5,249,586	Morgan et al.			
	136.	11/23/1993	5,264,433	Sato et al.			
	137.	02/08/1994	5,284,133	Burns et al.			
	138.	03/08/1994	5,292,499	Evans et al.			
	139.	07/26/1994	5,333,106	Lanpher et al.			
	140.	09/13/1994	5,345,951	Serrano et al.			
	141.	11/15/1994	5,364,838	Rubsamen			
	142.	11/22/1994	5,366,770	Wang			
	143.	12/27/1994	5,376,386	Ganderton et al.			
	144.	02/14/1995	5,388,574	Ingebrethsen			
	145.	02/21/1995	5,391,081	Lampotang et al.			
	146.	03/21/1995	5,399,574	Robertson et al.			
	147.	03/28/1995	5,400,808	Turner et al.			
	148.	07/25/1995	5,436,230	Soudant, et al.			
	149.	09/19/1995	5,451,408	Mezei et al.			
	150.	10/03/1995	5,455,043	Fischel-Ghodsian			

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Sheet 6 of 20

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 00060.01R

Application Number: 10/633,876

Applicant:

HALE et al.

Filing Date: 8/4/2003

Group Art Unit:

151.	10/10/1995	5,456,247	Shilling et al.			
152.	10/10/1995	5,456,677	Spector			
153.	10/10/1995	5,457,100	Daniel			
154.	10/10/1995	5,457,101	Greenwood et al.			
155.	10/17/1995	5,459,137	Andrasi et al.			
156.	10/31/1995	5,462,740	Evenstad et al.			
157.	11/21/1995	5,468,936	Deevi et al.			
158.	03/26/1996	5,501,236	Hill et al.			
159.	04/16/1996	5,507,277	Rubsamen et al.			
160.	04/30/1996	5,511,726	Greenspan et al.			
161.	05/21/1996	5,519,019	Andrasi et al.			
162.	06/11/1996	5,525,329	Snyder, et al.			
163.	07/30/1996	5,540,959	Wang			
164.	08/06/1996	5,543,434	Weg			
165.	08/13/1996	5,544,646	Lloyd et al.			
166.	12/17/1996	5,584,701	Lampotang et al.			
167.	12/24/1996	5,586,550	Ivri et al.			
168.	01/14/1997	5,592,934	Thwaites			
169.	02/25/1997	5,605,146	Sarela			
170.	02/25/1997	5,605,897	Beasley, Jr. et al.			
171.	03/04/1997	5,607,691	Hale et al.			
172.	04/15/1997	5,619,984	Hodson et al.			
173.	04/22/1997	5,622,944	Hale et al.			
174.	05/06/1997	5,627,178	Chakrabarti et al.			
175.	07/22/1997	5,649,554	Sprinkel			
176.	08/12/1997	5,655,523	Hodson et al.			
177.	08/12/1997	5,656,255	Jones			
178.	08/26/1997	5,660,166	Lloyd et al.			
179.	09/16/1997	5,666,977	Higgins et al.			
180.	11/25/1997	5,690,809	Subramaniam et al.			
181.	12/09/1997	5,694,919	Rubsamen et al.			

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Sheet 7 of 20

Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number: 00060.01R

Application Number: 10/633,876

Applicant:

HALE et al.

Filing Date: 8/4/2003

Group Art Unit:

182.	02/17/1998	5,718,222	Lloyd et al.			
183.	03/10/1998	5,724,957	Rubsamen et al.			
184.	03/10/1998	5,725,756	Subramaniam et al.			
185.	03/31/1998	5,733,572	Unger et al.			
186.	04/07/1998	5,735,263	Rubsamen et al.			
187.	04/14/1998	5,738,865	Baichwal et al.			
188.	04/14/1998	5,738,865	Baichwal et al.			
189.	04/28/1998	5,743,250	Gonda et al.			
190.	04/28/1998	5,743,251	Howell et al.			
191.	04/28/1998	5,744,469	Tran			
192.	05/05/1998	5,747,001	Wiedmann et al.			
193.	05/26/1998	5,756,449	Andersen et al.			
194.	06/02/1998	5,758,637	Ivri et al.			
195.	06/16/1998	5,767,117	Moskowitz et al.			
196.	06/23/1998	5,770,222	Unger et al.			
197.	06/30/1998	5,771,882	Psaros et al.			
198.	07/07/1998	5,776,928	Beasley, Jr.			
199.	09/08/1998	5,804,212	Illum			
200.	10/06/1998	5,817,656	Beasley, Jr. et al.			
201.	10/13/1998	5,819,756	Mielordt			
202.	10/20/1998	5,823,178	Lloyd et al.			
203.	11/10/1998	5,833,891	Subramaniam et al.			
204.	11/24/1998	5,840,246	Hammons et al.			
205.	01/05/1999	5,855,564	Ruskewicz			
206.	01/05/1999	5,855,913	Hanes et al.			
207.	02/23/1999	5,874,064	Edwards et al.			
208.	03/02/1999	5,875,776	Vaghefi			
209.	03/09/1999	5,878,752	Adams et al.			
210.	03/23/1999	5,884,620	Gonda et al.			
211.	04/06/1999	5,890,908	Lampotang et al.			
212.	04/20/1999	5,894,841	Voges			

EXAMINER:

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Docket Number: 00060.01R

Application Number: 10/633,876

Applicant:

HALE et al.

Filing Date: 8/4/2003

Group Art Unit:

213.	05/25/1999	5,906,811	Hersh			
214.	05/25/1999	5,907,075	Subramaniam et al.			
215.	06/08/1999	5,910,301	Farr et al.			
216.	06/29/1999	5,915,378	Lloyd et al.			
217.	07/06/1999	5,918,595	Olsson			
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219.	07/27/1999	5,929,093	Pang et al.			
220.	08/10/1999	5,934,272	Lloyd et al.			
221.	08/10/1999	5,935,604	Illum			
222.	08/17/1999	5,938,117	Ivri			
223.	08/17/1999	5,939,100	Albrechtsen et al.			
224.	08/24/1999	5,941,240	Gonda et al.			
225.	08/31/1999	5,944,012	Pera			
226.	09/28/1999	5,957,124	Lloyd et al.			
227.	10/05/1999	5,960,792	Lloyd et al.			
228.	10/26/1999	5,970,973	Gonda et al.			
229.	10/26/1999	5,971,951	Ruskewicz			
230.	11/16/1999	5,985,309	Edwards et al.			
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241.	04/11/2000	6,048,550	Chan et al.			
242.	04/11/2000	6,048,857	Ellinwood, Jr. et al.			
243.	04/18/2000	6,050,260	Daniell et al.			

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(Use several sheets if necessary)

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Applicant:

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Filing Date: 8/4/2003

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	245.	04/18/2000	6,051,566	Bianco			
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335.	04/03/2003	US 2003/0062042	Wensley et al.			
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	383.	03/14/1990	EP 0 358 114	Europe			
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	385.	07/01/1992	EP 0 492 485	Europe			
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	387.	02/13/1996	EP 0 734 719	Europe			
	388.	12/29/1999	EP 0 967 214	Europe			
	389.	03/07/2001	EP 1 080 720	Europe			
	390.	02/06/2002	EP 1 177 793	Europe			

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391.	05/18/2000	DE 198 54 007	Germany			
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Form PTO-1449

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Group Art Unit:

	484.	01/03/2002	WO 02/00198	WIPO			
	485.	03/28/2002	WO 02/24158	WIPO			
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EXAMINER: /Mina Haghighatian/

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